

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Stephane H. Maes

Serial No.: Not Assigned

Filed: Concurrently herewith

For: SYSTEM AND METHOD FOR CONFIRMING ELECTRONIC TRANSACTIONS

Assistant Commissioner for Patents  
Washington, D.C. 20231

10/01/01  
JC932 U.S. PRO 28987  
12/31/01

**INFORMATION DISCLOSURE STATEMENT**

Sir:

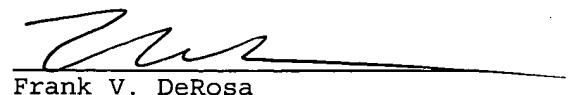
Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped,

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date December 31, 2001 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL922712254US addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: 12/31/01

  
Frank V. DeRosa

however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This information disclosure statement is being filed within three (3) months of the filing date of this application.

This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.

To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

[ ] Statement under 37 C.F.R. § 1.97(e) :

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

[ ] Enclosed herewith is a petition under 37 C.F.R. § 1.97(d)(ii) .

[ ] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. § 1.17(i)(1))

[ ] Please charge the \$130.00 petition fee to Deposit Account No. 50-0510/IBM (Yorktown Heights).

[ ] Enclosed by check is the \$240.00 fee required by 37 C.F.R. § 1.17(p) .

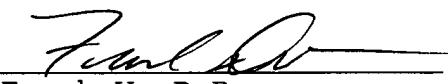
[ ] Please charge the \$240.00 fee required by 37 C.F.R. § 1.17(p) to Deposit Account No. 50-0510/IBM.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

By:

  
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Frank V. DeRosa

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  Y0R920010471US1 (8728-538)	SERIAL NO.  Not Assigned
	APPLICANT      Stephane H. Maes	
	FILING DATE      Concurrently herewith	GROUP Art Unit

U.S. PATENT DOCUMENT

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

1 U.S. Patent Application Serial No. 09/417,645 filed October 14, 1999 entitled System and Method for Providing  
Secure Financial Transactions (Docket No. Y0999-208 (8728-280).

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.